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Sector 18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SEABRON ADAMSON ET AL.

Serial No: 09/756,243

Filed: January 8, 2001

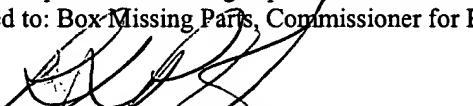
For: TRADABLE CONTINGENT SECURITIES
BUNDLED WITH ACTIVITY PERMITS

Art Unit: To Be Assigned

Attorney Document No. SAZ-001.01
(21457-101)

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on May 8, 2001.


Robert King

TRANSMITTAL LETTER

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

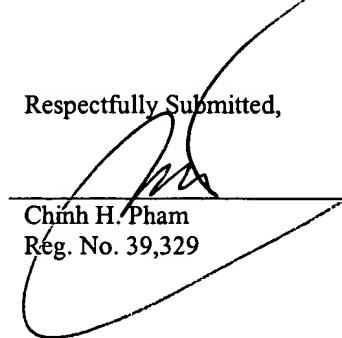
Sir:

Enclosed please find the following:

1. Response to Filing Missing Parts; 2. Check in the amount of \$65.00; 3. Declaration and Power of Attorney; 4. Copy of Part 2 Formalities Letter; and 5. Return Postcard.

Although we believe that we have submitted the correct amount to cover the above-listed items, the Commissioner is authorized to credit any overpayment or charge any deficiencies to our Deposit Account No. 06-1448.

Respectfully Submitted,


Chinh H. Pham
Reg. No. 39,329Date: May 8, 2001

Customer No: 25181
Patent Group
Foley, Hoag & Eliot LLP
One Post Office Square
Boston, MA 02109-2170

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

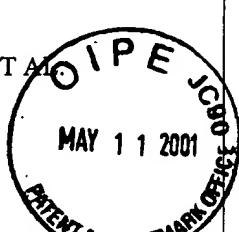
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RESPONSE TO NOTICE TO FILE MISSING PARTS

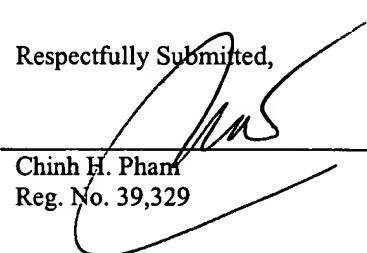
Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Sir:

In response to Notice to File Missing Parts mailed 3/12/2001, Applicants enclose herewith a Combined Declaration and Power of Attorney for Patent Application for the above application. Also enclosed is a check in the amount \$65.00 for late filing fee surcharge and a copy of the Notice to File Missing Parts.

Although we believe that we have submitted the correct amount to cover the above-listed items, the Commissioner is authorized to credit any overpayment or charge any deficiencies to our Deposit Account No. 06-1448.

Respectfully Submitted,


Chinh H. Pham
Reg. No. 39,329

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Robert King

TRANSMITTAL LETTER

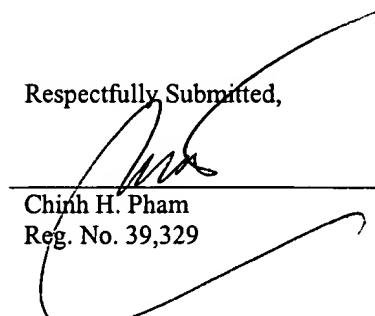
Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Sir:

Enclosed please find the following:

1. Response to Correct Informalities; 2. Copy of Part 2 Formalities Letter and 3. Return Postcard.

Although we believe that we have submitted the correct amount to cover the above-listed items, the Commissioner is authorized to credit any overpayment or charge any deficiencies to our Deposit Account No. 06-1448.


Respectfully Submitted,

Chinh H. Pham
Reg. No. 39,329

Date: May 8, 2001

Customer No: 25181
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Foley, Hoag & Eliot LLP
One Post Office Square
Boston, MA 02109-2170

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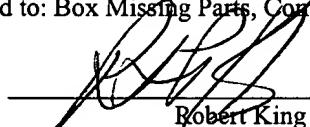
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Robert King

RESPONSE TO NOTICE TO CORRECT INFORMALITIES

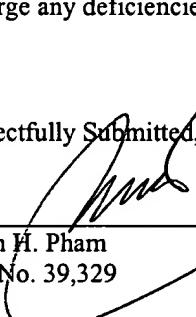
Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Sir:

In response to Notice to File Missing Parts mailed 3/12/2001, enclosed are the drawings which are in compliance with 37 CFR 1.84 for the above application.

Although we believe that we have submitted the correct amount to cover the above-listed items, the Commissioner is authorized to credit any overpayment or charge any deficiencies to our Deposit Account No. 06-1448.

Respectfully Submitted,



Chinh H. Pham
Reg. No. 39,329

Date: May 8, 2001

Customer No: 25181
Patent Group
Foley, Hoag & Eliot LLP
One Post Office Square
Boston, MA 02109-2170



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/756,243 | 01/08/2001 | Seabron Adamson | SAZ-001.01 |

25181
FOLEY, HOAG & ELIOT, LLP
PATENT GROUP
ONE POST OFFICE SQUARE
BOSTON, MA 02109



CONFIRMATION NO. 5611
FORMALITIES LETTER



OC000000005847865

Date Mailed: 03/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/14/2001 HMDXAHM1 00000123 09756243

FILED UNDER 37 CFR 1.53(b)

01 FC:205

65.00 DP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

~~Office of the Commissioner~~ General

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE